

37 CFR 1.97 INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

☒ The applicant(s) wish to make of record the references listed on the attached 37 CFR 1.98(A)(1). Reference Citation Copies of the listed references are being submitted herewith or, were submitted in the parent or grandparent application, where required, as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references, or cited by the examiner.

☐ Payment under 37 CFR §1.17(p) is being made via EFS web credit card payment.

RELATED CASES

☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

PETITION

☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(p).

Respectfully submitted,

7/25/2007

/RichardNeifeld#35,299/

Date

Richard A. Neifeld
Registration No. 35,299

Neifeld Docket No: EDWA0018U-US

Application/Patent No: 10/733,292

USPTO CONFIRMATION NO: 2518

File/Issue Date: 12/12/2003

Inventor/title: WHITE/INTRALUMINAL GRAFT

Examiner/ArtUnit: 3731/Thaler

37 CFR 1.98(A)(1) Reference Citation

LISTING OF UNITED STATES PATENTS - U series

EXAM INER INITIA LS	REFEREN CE NUMBER (U SERIES)	PATENT NUMBER	ISSUE DATE	NAME OF PATENTEE OR APPLICANT	PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED
	U-001	3,868,956	3/4/75	Alfidi et al.	Of record
	U-002	3,878,565	4/22/75	Sauvage	Of record
	U-003	3,890,977	6/24/75	Wilson	Of record
	U-004	3,996,938	12/14/76	Clark, III	Of record
	U-005	4,149,911	4/79	Clabburn	Of record
	U-006	4,225,979	10/80	Rey	Of record
	U-007	4,306,318	12/81	Mano	Of record
	U-008	4,416,028	11/83	Eriksson et al.	Of record
	U-009	4,425,908	1/17/84	Simon	Of record
	U-010	4,503,569	3/85	Dotter	Of record
	U-011	4,512,338	4/85	Balko et al.	Of record
	U-012	4,553,545	11/85	Maass	Of record
	U-013	4,560,374	12/85	Hammerslag	Of record
	U-014	4,562,596	1/86	Kornberg	Of record
	U-015	4,577,631	3/86	Kreamer	Of record
	U-016	4,580,568	4/86	Gianturco	Of record
	U-017	4,617,932	10/86	Kornberg	Of record
	U-018	4,649,922	3/87	Wiktor	Of record
	U-019	4,655,771	4/87	Wallsten	Of record
	U-020	4,665,906	5/87	Jervis	Of record
	U-021	4,665,918	5/87	Garza	Of record
	U-022	4,681,110	5/87	Wiktor	Of record

	U-023	4,728,328	5/88	Hughes	Of record
	U-024	4,729,766	5/88	Bergentz	Of record
	U-025	4,731,073	3/88	Robinson	Of record
	U-026	4,732,152	3/88	Wallsten	Of record
	U-027	4,733,665	3/88	Palmaz	Of record
	U-028	4,739,762	4/88	Palmaz	Of record
	U-029	4,762,128	8/88	Rosenbluth	Of record
	U-030	4,768,507	9/88	Fischell et al.	Of record
	U-031	4,772,264	9/88	Cragg	Of record
	U-032	4,776,337	10/88	Palmaz	Of record
	U-033	4,787,899	11/88	Lazarus	Of record
	U-034	4,793,348	12/88	Palmaz	Of record
	U-035	4,800,882	1/89	Gianturco	Of record
	U-036	4,820,298	4/89	Leveen	Of record
	U-037	4,830,003	5/89	Wolff et al.	Of record
	U-038	4,856,516	8/89	Hillstead	Of record
	U-039	4,872,874	10/10/89	Taheri	Of record
	U-040	4,878,906	11/89	Lindermann	Of record
	U-041	4,886,062	12/89	Wiktor	Of record
	U-042	4,886,065	12/89	Wiktor	Of record
	U-043	4,913,141	4/90	Hillstead	Of record
	U-044	4,922,905	5/90	Strecker	Of record
	U-045	4,923,464	5/8/90	DiPisa, Jr.	Of record
	U-046	4,950,227	8/90	Savin et al.	Of record
	U-047	4,954,126	9/90	Wallsten	Of record
	U-048	4,969,458	11/90	Wiktor	Of record
	U-049	4,969,890	11/90	Sugita	Of record
	U-050	4,994,071	2/91	MacGregor	Of record
	U-051	5,015,253	5/91	MacGregor	Of record
	U-052	5,019,085	5/91	Hillstead	Of record
	U-053	5,019,090	5/91	Pinchuk	Of record
	U-054	5,035,706	7/91	Gianturco	Of record
	U-055	5,037,392	8/91	Hillstead	Of record

	U-056	5,037,427	9/91	Harada	Of record
	U-057	5,041,126	8/91	Gianturco	Of record
	U-058	5,047,050	9/91	Arpesani	Of record
	U-059	5,057,092	10/91	Webster	Of record
	U-060	5,061,275	10/91	Wallsten et al.	Of record
	U-061	5,064,435	11/12/91	Porter	Of record
	U-062	5,067,957	11/91	Jervis	Of record
	U-063	5,078,726	1/92	Kreamer	Of record
	U-064	5,078,736	1/92	Behl	Of record
	U-065	5,085,635	2/92	Cragg	Of record
	U-066	5,102,417	4/92	Palmaz	Of record
	U-067	5,104,399	4/92	Lazarus	Of record
	U-068	5,104,404	4/92	Wolff	Of record
	U-069	5,108,416	4/92	Ryan et al.	Of record
	U-070	5,116,318	5/92	Hillstead	Of record
	U-071	5,122,154	6/16/92	Rhodes	Of record
	U-072	5,123,917	6/92	Lee	Of record
	U-073	5,133,732	7/92	Wiktor	Of record
	U-074	5,135,536	8/92	Hillstead	Of record
	U-075	5,151,105	9/92	Kwan-Gett	Of record
	U-076	5,161,547	11/92	Tower	Of record
	U-077	5,163,958	11/17/92	Pinchuk	Of record
	U-078	5,178,630	1/93	Schmitt	Of record
	U-079	5,183,085	2/93	Timmermans	Of record
	U-080	5,192,297	3/93	Hull	Of record
	U-081	5,192,307	3/93	Wall	Of record
	U-082	5,195,984	3/93	Schatz	Of record
	U-083	5,201,901	4/93	Harada	Of record
	U-084	5,207,695	5/93	Trout, III	Of record
	U-085	5,211,658	5/93	Clouse	Of record
	U-086	5,219,355	6/93	Parodi et al.	Of record
	U-087	5,236,446	8/93	Dumon	Of record

	U-088	5,246,445	9/93	Yachia et al.	Of record
	U-089	5,266,073	11/93	Wall	Of record
	U-090	5,275,622	1/94	Lazaru	Of record
	U-091	5,282,823	2/94	Schwartz et al.	Of record
	U-092	5,282,824	2/94	Gianturco	Of record
	U-093	5,282,860	2/94	Matsuno et al.	Of record
	U-094	5,290,305	3/94	Inoue	Of record
	U-095	5,292,331	3/94	Boneau	Of record
	U-096	5,304,200	4/94	Spaulding	Of record
	U-097	5,314,472	5/94	Fontaine	Of record
	U-098	5,316,023	5/94	Palmaz	Of record
	U-099	5,330,500	7/94	Song	Of record
	U-100	5,330,528	7/94	Lazim	Of record
	U-101	5,342,300	8/30/94	Stefanadis et al.	Of record
	U-102	5,342,387	8/94	Summers	Of record
	U-103	5,344,425	9/94	Sawyer	Of record
	U-104	5,344,426	9/94	Lau et al.	Of record
	U-105	5,354,308	10/94	Simon et al.	Of record
	U-106	5,354,309	10/94	Schnepp-Pesch	Of record
	U-107	5,356,433	10/94	Rowland et al.	Of record
	U-108	5,360,443	11/94	Barone et al.	Of record
	U-109	5,366,504	11/94	Andersen	Of record
	U-110	5,370,683	12/94	Fontaine	Of record
	U-111	5,375,612	12/94	Cottenceau et al.	Of record
	U-112	5,383,892	1/95	Cardon et al.	Of record
	U-113	5,383,928	1/95	Scott	Of record
	U-114	5,387,235	2/95	Chuter	Of record
	U-115	5,389,106	2/95	Tower	Of record
	U-116	5,397,345	3/14/95	Lazarus	Of record
	U-117	5,403,341	4/95	Solar	Of record
	U-118	5,405,377	4/95	Cragg	Of record
	U-119	5,409,019	4/95	Wilk	Of record
	U-120	5,413,597	5/95	Krajicek	Of record

	U-121	5,415,664	5/95	Pinchuk	Of record
	U-122	5,429,144	7/95	Wilk	Of record
	U-123	5,443,496	8/95	Schwartz et al.	Of record
	U-124	5,443,497	8/22/95	Venbrux	Of record
	U-125	5,443,498	8/95	Fontaine	Of record
	U-126	5,456,713	10/95	Chuter	Of record
	U-127	5,464,449	11/95	Ryan	Of record
	U-128	5,489,295	2/6/96	Piplani et al.	Of record
	U-129	5,507,767	4/96	Maeda	Of record
	U-130	5,507,771	4/96	Gianturco	Of record
	U-131	5,522,880	2/4/96	Barone et al.	Of record
	U-132	5,562,724	10/96	Vorwerk	Of record
	U-133	5,562,726	10/8/96	Chuter	Of record
	U-134	5,562,727	10/96	Turk	Of record
	U-135	5,562,728	10/8/96	Lazarus	Of record
	U-136	5,562,742	10/96	Vorwerk et al.	Of record
	U-137	5,571,170	11/96	Palmaz	Of record
	U-138	5,575,817	11/19/96	Martin	Of record
	U-139	5,609,605	3/11/97	Marshall et al.	Of record
	U-140	5,609,627	3/97	Golcoechea et al.	Of record
	U-141	5,639,278	6/17/97	Dereume et al.	Of record
	U-142	5,676,696	10/14/97	Marcade	Of record
	U-143	5,676,697	10/14/97	McDonald	Of record
	U-144	5,683,449	11/4/97	Marcade	Of record
	U-145	5,683,450	11/97	Golcoechea et al.	Of record
	U-146	5,683,451	11/97	Lenker et al.	Of record
	U-147	5,683,453	11/4/97	Palmaz	Of record
	U-148	5,693,086	12/97	Golcoechea et al.	Of record
	U-149	5,709,713	1/98	Evans et al.	Of record
	U-150	5,716,365	2/98	Golcoechea et al.	Of record

	U-151	5,718,724	2/98	Golcoechea et al.	Of record
	U-152	5,752,522	5/98	Murphy	Of record
	U-153	5,782,904	7/98	White et al.	Of record
	U-154	5,797,949	8/25/98	Parodi	Of record
	U-155	5,824,039	10/20/98	Piplani et al.	Of record
	U-156	5,824,055	10/20/98	Spiridigliozzi et al.	Of record
	U-157	5,860,923	1/99	Lenker et al.	Of record
	U-158	5,871,536	2/16/99	Lazarus	Of record
	U-159	6,117,167	9/12/00	Goicoechea et al.	Of record
	U-160	6,165,213	12/26/00	Goicoechea et al.	Of record
	U-161	6,582,458	6/24/2003	White et al.	Of record
	U-162	6,689,158	2/10/2004	White et al.	Of record
	U-163	3,304,557	2/21/1967	Polansky	Of record
	U-164	4,130,904	12/26/1978	Whalen	Of record
Date:			Examiner's Signature:		

LISTING OF FOREIGN AND INTERNATIONAL PATENT DOCUMENTS - F Series

EXAMINER INITIALS	REFERENCE NUMBER (F SERIES)	PUBLICATION NUMBER	PUBLICATION DATE	COUNTRY OR REGION	PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL	ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED
	F-001	DE1766921	9/70	Germany		Of record
	F-002	FR2333487	12/75	France		Of record
	F-003	DE2805749	2/78	Germany		Of record
	F-004	FR2409747	10/80	France		Of record
	F-005	WO 83/00997	3/83	WIPO		Of record
	F-006	GB2106190	4/7/83	Great Britain		Of record
	F-007	WO 83/03752	11/10/83	WIPO		Of record
	F-008	SU1217402	5/84	Russia		Of record
	F-009	SU1318235	7/84	Russia		Of record
	F-010	EP0145166	6/19/85	Europe		Of record
	F-011	EP0183372	10/85	Europe		Of record
	F-012	FR2512678	11/85	France		Of record
	F-013	EP0177300	3/86	Europe		Of record
	-----					Of record
	F-015	SU1457921	3/86	Russia		Of record
	F-016	GB2189150	10/87	Great Britain		Of record
	F-017	WO 89/01320	2/23/89	WIPO		Of record
	F-018	WO 89/08433	9/21/89	WIPO		Of record
	F-019	EP0335341	10/89	Europe		Of record
	F-020	WO 90/04982	5/90	WIPO		Of record
	F-021	EP0421729	4/91	Europe		Of record
	F-022	EP0423916	4/24/91	Europe		Of record
	F-023	WO 91/07928	6/13/91	WIPO		Of record
	F-024	WO 91/12047	8/91	WIPO		Of record

	F-025	WO 92/00043	1/92	WIPO		Of record
	F-026	649051	1/92	US (Lazarus et al. Class 623 Sub. 1)		Of record
	F-027	EP0472731	3/92	Europe		Of record
	F-028	EP0508473	4/10/92	Europe		Of record
	F-029	WO 92/06734	4/30/92	WIPO		Of record
	F-030	4-253856	9/9/92	Japan		Of record
	F-031	EP0508473	10/14/92	Europe		Of record
	F-032	EP0540290	10/92	Europe		Of record
	F-033	FR2678508	1/93	France		Of record
	F-034	EP0521573	1/7/93	Europe		Of record
	F-035	EP0556850	2/19/93	Europe		Of record
	F-036	5-76603	3/30/93	Japan		Of record
	F-037	EP0541443	5/93	Europe		Of record
	F-038	EP0579523	6/93	Europe		Of record
	F-039	EP0551179	7/14/93	Europe		Of record
	F-040	WO 93/13825	7/22/93	WIPO		Of record
	F-041	EP0556850	8/25/93	Europe		Of record
	F-042	EP0579523	1/94	Europe		Of record
	F-043	EP0617930	3/7/94	Europe		Of record
	F-044	DE9319267 .3	4/94	Germany		Of record
	F-045	EP0621016	4/94	Europe		Of record
	F-046	WO 94/12136	6/94	WIPO		Of record
	F-047	DE4303181	8/11/94	Germany		Of record
	F-048	WO 94/17754	8/94	WIPO		Of record
	F-049	WO 95/01761	1/95	WIPO		Of record

	F-050	WO 95/08966	4/95	WIPO		Of record
	F-051	EP0656198	6/95	Europe		Of record
	F-052	WO 95/16406	6/95	WIPO		Of record
	F-053	EP0686379	12/95	Europe		Of record
	F-054	WO 96/10375	4/96	WIPO		Of record
	F-055	WO 96/11648	4/25/96	WIPO		Of record
	F-056	WO 96/28116	9/96	WIPO		Of record
	F-057	EP0792627	9/3/97	Europe		Of record
	F-058	EP0466518	1/15/19 92	Europe		Of record
	F-059	EP0539237	4/28/19 93	Europe		Of record
	F-060	EP0646365	4/5/199 5	Europe		Of record
Date:			Examiner's Signature:			

LISTING OF NON PATENT LITERATURE - O Series

EXAMINER INITIALS	REFERENCE NUMBER (L Series)	PUBLICATION DATE	INCLUDE IN SEQUENCE: Name of first author (in CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication	ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED
	O-001		CRONENWETT et al., "Arterial Aneurysms," Rutherford Vascular Surgery Fifth Edition, 2000, title page, copyright page, table of contents for sections XI-XV; and pgs 1241-1246.	Of record
	O-002		BAIRD et al., "Aortoiliacofemoral Occlusive Disease," Vascular Surgery Principles and Practice, 1987, title page, copyright page, table of contents Part 1-4; and pgs 344-352.	Of record
	O-003		Fax cover sheet dated 11/11/92 showing US destination telephone number 602-240-6160 and abstract by May et al., "Advantages and Limitations of Intraluminal Grafts for Thoracic and Abdominal Aortic Aneurysms," associated with fax cover sheet.	Of record
	O-004		Brochure for Meeting Announcement and Call for Abstracts for International Congress VI Endovascular Interventions, for Jan.27-31, 1993.	Of record
	O-005		Preliminary Program for International Congress VI Endovascular Interventions, for Jan. 27-31, 1993.	Of record
	O-006		Official Program for The International Congress VI Endovascular Interventions Tomorrow's Technology Today including 3 abstracts, respectively naming Rees, Fogarty, and G. White as authors, Jan. 27-31, 1993.	Of record
	O-007		WHITE et al., Copy of Powerpoint Slide Presentation: "Experimental Endoluminal Grafts and Coated Stents," first page stating "With Compliments Astara and Russell," (6 pages).	Of record

EX AMI NE R INIT IAL S	REF ERE NCE NUM BER (L Serie s)	PUB LIC ATI ON DA TE	INCLUDE IN SEQUENCE: Name of first author (in CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication	ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED
	O-008		White et al., Copy of PowerPoint Slide Presentation: "Experimental Endoluminal Grafts and Coated Stents," with first page stating "Geoffrey H. White, Weiyun Yu, George E. Kopchok, Martin R. Back, Rodney A. White, Samuel E. Wilson, James May," (13 pages).	Of record
	O-009		MINTEC Sales literature (5 pages) for Cragg EndoPro System 1, Facsimile date Oct. 1993.	Of record
	O-010		DOTTER et al., "Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report," Technical Developments and Instrumentation, Radiology 147, pgs 259-260, April 1983.	Of record
	O-011		CRAGG et al., "Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire," Radiology 147: pgs 261-263, April 1983.	Of record
	O-012		Diagrammatic representations of the treatment of occlusive arterial disease by balloon angioplasty and stent implantation, dated March 1993.	Of record
	O-013		RICHTER, G.M., 1 page excerpt from an article, dated August 1993.	Of record
	O-014		Meeting cover page and agenda for 2nd Annual RPAH Symposium on Endovascular Therapies "Endoluminal Aortic Aneurysm Grafting and Stent Applications in Vascular Disease," Sydney Australia, Nov. 27-28, 1992 (4 pages).	Of record

	O-015		Fax cover sheet, preliminary notice, registration information and attendance list for: "3rd Annual Symposium on Endovascular Therapies for Endoluminal Aneurysm Grafting Vascular Stents And Endovascular Interventions," Nov. 26-27, 1993 (28 pages).	Of record
	O-016		Preliminary program for International Congress VII Endovascular Interventions, Feb. 13-17, 1994.	Of record
	O-017		WHITE et al., Abstract, "A New Non-Stented Balloon-Expandable Graft for Straight or Bifurcated Endoluminal Bypass," after Nov. 1993.	Of record
	O-018		WHITE et al., Abstract, "A Comparison of Four Devices for Endoluminal Repair of Abdominal Aortic Aneurysms," Annual Scientific Conference, May 1994.	Of record
	O-019		ISR for PCT/AU 94/00586, Nov. 30 1994.	Of record
	O-020		Bard Vascular Systems Division, Product Brochure "USCI DeBakey Soft-Woven Dacron Vascular Prosthesis," 1991.	Of record
	O-021		MIRICH, et al., "Percutaneous Placed Endovascular Grafts for Aortic Aneurysms: Feasibility Study," Radiology 1989: 170: 1033-1037.	Of record
	O-022		BALKO, et al., "Transfemoral Placement of Intraluminal Polyurethane Prosthesis for Abdominal Aortic Aneurysm," present at the Annual Meeting of the Association for Academic Surgery, November 10-13 1985.	Of record
	O-023	Oct. 1988	YOSHIOKA et al., Self-Expanding Endovascular Graft: An Experimental Study in Dogs, American Journal of Radiology, 151, pages 673-76.	Of record
	O-024		Document Bibliography and Abstract page printout for EP 472731 from EPO website epo@cenet	Of record
	O-025		Document Bibliography and Abstract page printout for US 5383892 from EPO website epo@cenet	Of record
	O-026		Document Bibliography and Abstract page printout for US 5562724 from EPO website epo@cenet	Of record

	O-027	Feb. 18, 2000	Declaration of Geoffrey H. White.	Of record
	O-028	Jun. 17, 2000	Declaration of Ian L. Gordon including Exhibit B.	Of record
	O-029	Feb. 28, 2000	Declaration of W. Davis.	Of record
	O-030	Jun. 16, 2000	Declaration of Mark Dehdashtian.	Of record
	O-031	April 24, 2001	Declaration of Geoffrey H. White.	Of record
	O-032	Nov. 22, 2002	Declaration of Geoffrey H. White including Exhibits GHW1 and GHW11.	Of record
	O-033	March 8, 2002	Declaration of Edward B. Diethrich .	Of record
	O-034	November 29, 2002	Declaration of Tim McGahan 2002 including CV of Tim McGahan.	Of record
	O-035	1984	McGraw-Hill Dictionary of Scientific and Technical Terms; Third Edition; McGraw-Hill Book Company, New York; pages 796 and 1284.	Of record
	O-036	1990	Duering et al., Editors, "Engineering Aspects of Shape Memory Alloys," London: Butterworth-Heinemann Ltd, 1990, pp. 369-393.	Of record
	O-037	Nov 1979	Schetky, "Shape Memory Alloys," Scientific American, Nov. 1979, Vol. 241, No. 5, pp. 74-82.	Of record
	O-038	1990	Dondelinger et al., Editors, "Interventional Radiology," New York: Thieme Medical Publishers, Inc., 1990, pp. 686-692.	Of record
	O-039	Jan 1984	Cragg et al., "Percutaneous Arterial Grafting," Radiology, Vol. 150, No. 1, January, 1984, pp. 45-49.	Of record

	O-040	1981	Otsuka et al., "Pseudoelasticity," Metals Forum, Vol. 4 No. 3, 1981, pp. 142-152.	Of record
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Date:			Examiner's Signature:	